34.37

PTO/SB/21 (09-06) Approved for use through 03/31/2007, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE he Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number TRANSMITTAL Filing Date 2006-03-31 First Named Inventor Duke **FORM** Art Unit 3637 Examiner Name (to be used for all correspondence after initial filing) Attorney Docket Number 330-028 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name Anthony R Barkume PC /arbarkume/ Signature Anthony R Barkume Printed name Date Reg. No. Octrober 16, 2006 33,831

CERTIFICATE OF TRANSMISSION/MAILING

## I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Anthony R Barkume Typed or printed name

/arbarkume/

the date shown below:

Signature

October 16, 2006 Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		10542995
Filing Date		2006-03-31
First Named Inventor	DUK	E
Art Unit		3637
Examiner Name		
Attorney Docket Number		330-028

					U.S.I	PATENTS				
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue D	Date	Name of Patentee or Applicant of cited Document  Pages,Columns,Lines w Relevant Passages or R Figures Appear		vant Passages or Relev		
	1	5746839		1998-05	5-05	Dinwoodie	·			
	2	5706617		1998-01	I-13	Hirai et al.	• ^			
If you wisl	n to ac	dd additional U.S. Pater	nt citatio	n inform	ation pl	ease click the	Add button.			
			U.S.P	ATENT	APPLIC	CATION PUBI	LICATIONS			
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publica Date	ition	Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
	1									
If you wish	n to ac	dd additional U.S. Publi	shed Ap	plication	citation	n information p	please click the Add	d butto	on.	
-				FOREIG	SN PAT	ENT DOCUM	ENTS			
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>		Kind Code⁴	Publication Date	Name of Patente Applicant of cited Document		Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	Т5
	1	2000064523	JP			2000-02-29	Hiroyuki			
	2	EP0026862	EP		B1	1986-09-03	Ludowici			

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		10542995
Filing Date		2006-03-31
First Named Inventor	DUKE	
Art Unit		3637
Examiner Name		
Attorney Docket Number		330-028

	3	DE29913860	DE	U1	2000-05-01	Renghart		
	4	DE3012111	DE	A1	1981-08-10	Ludowici		
If you wish	h to a	ldd additional Fore	ign Patent Docu	ment citation	n information p	lease click the Add butto	1 1	
			NON-P	ATENT LIT	ERATURE DO	CUMENTS		
Examiner Initials*	Cite No	i (book magazine journal serial symposium catalog etc) date pages(s) volume-issue number(s)					T5	
	1							
If you wish	h to a	dd additional non-	patent literature	document ci	tation informat	tion please click the Add I	outton	
				EXAMINE	R SIGNATUR	RE		
Examiner	Examiner Signature . Date Considered							
						formance with MPEP 609 with next communication		
Standard ST 4 Kind of doo	.3). <sup>3</sup> Foument	or Japanese patent d	ocuments, the indica	tion of the year	of the reign of the	er office that issued the docume e Emperor must precede the se ndard ST.16 if possible. <sup>5</sup> Appli	rial number of the patent doc	ument.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		10542995
Filing Date		2006-03-31
First Named Inventor DUKE		
Art Unit		3637
Examiner Name		
Attorney Docket Number		330-028

CERTIFICATION STATEMENT									
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):								
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).								
OR	OR								
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).								
	See attached certification statement.								
	Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.								
X									
SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.									
Signature		/arbarkume/	Date (YYYY-MM-DD)	2006-10-16					
Nan	ne/Print	Anthony R Barkume	Registration Number	33,831					

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**